

PTO/SB/21 (02-04)

Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

IFW

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>	Application Number	10/801,891
	Filing Date	March 15, 2004
	First Named Inventor	Lai, S.
	Art Unit	3739
	Examiner Name	Peffley, Michael
Total Number of Pages in This Submission	Attorney Docket Number	3500.P005A

ENCLOSURES (Check all that apply)

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance communication to Technology Center (TC) <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please Identify below): IDS TMT (1 pg); PTO-1449 (1 pg); REFERENCES (6); and a Return Prepaid Postcard
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Law Offices of James D. McFarland
Signature	
Date	January 03, 2006

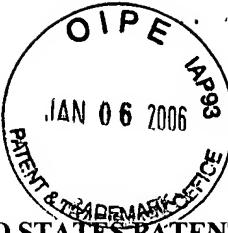
CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Typed or printed name	James D. McFarland
Signature	
	Date
	Jan 03, 2006

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Lai, S

Group Art Unit: 3739

Serial No.: 10/801,891

Examiner:

Filed: MARCH 15, 2004

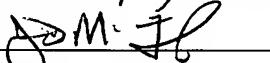
Certificate of Mailing Under 37 C.F.R.
§1.8

For: METHOD AND APPARATUS FOR
LASER SURGERY OF THE CORNEA

I hereby certify that this document is being deposited this day in the U.S. Postal Service as first class mail, in an envelope addressed to:

Commissioner for Patents, P.O. Box 1450
Alexandria, VA 22313-1450

Date: January 3, 2006

Signature 
 Name: James D. McFarland
 Reg. No. 32,544

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT TRANSMITTAL

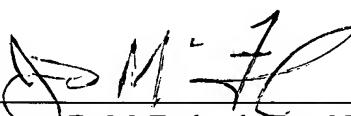
Sir:

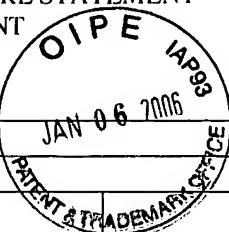
Enclosed is Patent and Trademark Office PTO-1449, listing references, copies of which are enclosed.

It is believed that this Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits. In the event that a first Office Action on the merits was mailed before the mailing date of this Statement, and/or additional fees are required, the Commissioner is hereby authorized to charge any additional fees or credit any overpayments to Deposit Account No. 50-0948. A duplicate copy of this transmittal is included for this purpose.

Respectfully submitted,

Dated: Jan. 3, 2006

By: 
 James D. McFarland, Reg. No. 32,544
 Law Offices of James D. McFarland
 12555 High Bluff Drive, Suite 305
 San Diego, CA, 92130
 (858) 509-8687

INFORMATION DISCLOSURE STATEMENT BY APPLICANT 		Application No.	10/801,891
		Applicant	Lai, Shui
		Filing Date	03/15/2004
		Group Art Unit	3739
		Examiner Name	
Sheet	Page 1 of 1	Att. Docket No.	3500.P005A

U.S. PATENT DOCUMENTS							
Examiner Initials		Document Number	Publication Date	Name of Patentee	Class	Subclass	Filing Date (If Appropriate)
A		US4,712,543	12/5/1987	Baron, N.			
B		US4,988,348	01/29/1991	Bille J.			
C		US6,325,792	12/4/2001	Swinger et al.			
D		US5,252,998	10/12/1993	Reis et al.			
E							
F							
G							
H							
I							
J							
K							

Examiner Initials	Cite NO.	Foreign Document No. Country code-number-kind code	Publication Date	Name of Patentee	TRANSLATION YES NO
1		WO9015570	12/27/1990		
2		EP0902883	4/3/2002		
3		EP1402860A2	3/31/2004		
4		WO94/09849	5/11/1994		
5					

OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, PUBLICATION, DATE, PAGES, VOLUME.)	
	Communication from EPO dated 22 April 2005, appn. No. 03077774.2-2305(3 pages)
	Squier, J.. et al., "TUNABLE SOLID-STATE LASERS CREATE ULTRA SHORT PULSES," LASER FOCUS WORLD, vol. 28, No. 6 1 (June 1992) pp 51-60, ISSN: 1043-8092

EXAMINER	DATE CONSIDERED
*EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT	